UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/679,461	10/04/2000	Richard J. Ely	2494/103	5412

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EXAMINER
LI, ZHUO H

ART UNIT	PAPER NUMBER
2186	

DATE MAILED: 05/06/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 07-01)

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>3</u> MONTH(S) FROM
THE MAILING DATE OF THIS COMMUNICATION.
- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed
  after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any
  earned patent term adjustment. See 37 CFR 1.704(b).

Status

1)☒ Responsive to communication(s) filed on <u>28 October 2002</u> .

2a)☒ This action is **FINAL**.   2b)☐ This action is non-final.

3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is
   closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4)☒ Claim(s) <u>1-48</u> is/are pending in the application.

   4a) Of the above claim(s) _____ is/are withdrawn from consideration.

5)☐ Claim(s) _____ is/are allowed.

6)☒ Claim(s) <u>1-48</u> is/are rejected.

7)☐ Claim(s) _____ is/are objected to.

8)☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9)☐ The specification is objected to by the Examiner.

10)☐ The drawing(s) filed on _____ is/are: a)☐ accepted or b)☐ objected to by the Examiner.

   Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

11)☐ The proposed drawing correction filed on _____ is: a)☐ approved b)☐ disapproved by the Examiner.

   If approved, corrected drawings are required in reply to this Office action.

12)☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

13)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

   a)☐ All b)☐ Some * c)☐ None of:

   1.☐ Certified copies of the priority documents have been received.

   2.☐ Certified copies of the priority documents have been received in Application No. _____ .

   3.☐ Copies of the certified copies of the priority documents have been received in this National Stage
      application from the International Bureau (PCT Rule 17.2(a)).

   * See the attached detailed Office action for a list of the certified copies not received.

14)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).

   a) ☐ The translation of the foreign language provisional application has been received.

15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

1)☐	Notice of References Cited (PTO-892)	4)☐	Interview Summary (PTO-413) Paper No(s). _____ .
2)☐	Notice of Draftsperson's Patent Drawing Review (PTO-948)	5)☐	Notice of Informal Patent Application (PTO-152)
3)☐	Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ .	6)☐	Other:

DETAILED ACTION

Response to Amendment

1.   This Office action is in prepare to the response filed on October 28, 2002 (Paper no. 5).

Claim Rejections - 35 USC § 102

2.   The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the

basis for the rejections under this section made in this Office action:

> A person shall be entitled to a patent unless –
>
> (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3.   Claim 32 is rejected under 35 U.S.C. 102(b) as being anticipated by Bauman et al (US

PAT. 5,875,472 hereinafter Bauman).

Regarding claim 32, Bauman discloses an apparatus comprising a number of host

applications (31,33,35,37 and 46, figure 2A), a memory device (54, figure 2A), and a memory

interface device (28, figure 2A) interposed between the host applications and the memory device

and operably coupled to receive memory access requests from the number of host application,

interact with the memory device on behalf on the number of host applications for servicing the

memory access requests, and provide result/status information to the host applications (col. 7 line

54 through col. 9 line 47).

Claim Rejections - 35 USC § 103

4.   The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in
> section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are
> such that the subject matter as a whole would have been obvious at the time the invention was made to a person
> having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the
> manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the

claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various

claims was commonly owned at the time any inventions covered therein were made absent any

evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out

the inventor and invention dates of each claim that was not commonly owned at the time a later

invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c)

and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

5.   Claims 1, 3-12, 14, 17, 19-31, 33 and 35-47 are rejected under 35 U.S.C. 103(a) as being

unpatentable over Bauman et al (US PAT. 5,875,472 hereinafter Bauman) in view of Hughes

(US PAT. 5,784,582).

Regarding claim 1, Bauman discloses a memory interface device (28, figure 2A) for

interfacing a number of host applications (31,33,35 and 37, figure 2A) to a memory device (54,

figure 2A), the memory interface device comprising a host interface for interfacing with the

number of host applications (col. 7 lines 62-64), a memory interface for interfacing with the

memory device (col. 9 lines 43-47), a number of contexts (82, figure 2A) operably coupled to the

host interface for receiving memory access requests from the number of host applications and

providing result/status information to the number o host applications (col. 8 lines 43-53). Bauman differs from the claimed invention in not specifically teaches a control logic operably coupled to obtain memory access requests from the number of contexts, interact with the memory device over the memory interface for servicing the memory access requests on behalf of the number of host applications, and provide the result/status information to the number of host applications via the number of contexts. However, Hughes teaches a shared SDRAM arbiter/controller logic 72 in the shared SDRAM controller/arbiter 20, selects requests for the shared memory pipeline, and control and address signals are provided to the shared SDRAM (figure 2 and col. 5 lines 28-34). Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to modify Bauman in having a control logic operably coupled to obtain memory access requests from the number of contexts, interact with the memory device over the memory interface for servicing the memory access requests on behalf of the number of host applications, and provide the result/status information to the number of host applications via the number of contexts, as per teaching of Hughes, because it provides a greater control over pipeline fullness and reduce the latency.

Regarding claim 3, Bauman discloses the memory device comprises a content-addressable memory (CAM), and wherein the memory interface conforms to a CAM interface (col. 8 lines 3-11 and col. 8 line 57 through col. 9 line 29).

Regarding claim 4, Bauman discloses the number of contexts comprises a number of context registers sets (104, figure 2).

Regarding claim 5, Bauman discloses each context register set corresponds to one and only one of the number of host applications (figure 2 and col. 8 lines 52-54).

Regarding claim 6, Hughes discloses the control logic comprises monitoring logic (104, 105, 106 and 107 in figure 3), schedule logic (108, figure 3), memory interface logic (111, figure 3), result/status logic (110, figure 3), wherein the monitoring logic is operably coupled to monitor the number of contexts for detecting memory access requests and providing the memory access requests to the scheduling logic (col. 5 lines 37-56), the scheduling logic is operably coupled to schedule memory access operations for the memory access requests (col. 5 lines 63-66), the memory interface logic is operably coupled to generate memory interface signals fro interfacing with the memory device over the memory interface (col. 5 lines 50-54), and the result/status logic is operably coupled to provide result/status information to the number of host applications (col. 5 lines 49-50).

Regarding claim 7, Bauman discloses each context comprises a context register set (104, figure 2), and wherein the monitoring logic is operably coupled to monitor a predetermined register in each context register set to detect a memory access request (figure 3 and col. 10 line 58 through col. 11 line 13).

Regarding claim 8, Bauman discloses the predetermined register comprises an instruction register (col. 8 lines 43-51).

Regarding claim 9, Hughes discloses the memory interface supports pipelining of memory access operations, and wherein the scheduling logic is operably coupled to pipeline a plurality of memory access requests over the memory interface (col. 2 lines 21-31 and col. 5 lines 63-66).

Regarding claim 10, Hughes discloses the scheduling logic is operably coupled to determine that a plurality of memory access requests conflict and execute at least one of the conflicting memory access requests as an atomic operation (col. 2 lines 44-56).

Regarding claim 11, Bauman discloses the scheduling logic is operably couple to clear the pipeline in order to execute the conflicting memory access request as an atomic operation (col. 16 line 42 through col. 17 line 38).

Regarding claim 12, Hughes discloses the result/status logic is operably coupled to correlate result/status information with its corresponding memory access request (col. 5 lines 49-50).

Regarding claim 13, Bauman discloses the result/status logic is operatbly coupled to store the result/status information for each memory access request in a corresponding context (col. 9 lines 39-41).

Regarding claim 14, Bauman discloses each context comprises a validity indicator, and wherein the result/status logic is operably coupled to set the validity indicator in each context when the corresponding memory access is complete and the result/status information is available (col. 22 lines 31-35).

Regarding claim 15, Bauman discloses the memory interface device as programmed programmable logic device (28, figure 2A and 29, figure 2B).

Regarding claim 17, the limitations of the claim are rejected as the same reasons set forth in claim 1.

Regarding claim 19, the limitations of the claim are rejected as the same reasons set forth in claim 3.

Regarding claim 20, the limitations of the claim are rejected as the same reasons set forth in claim 4.

Regarding claim 21, the limitations of the claim are rejected as the same reasons set forth in claim 5.

Regarding claim 22, the limitations of the claim are rejected as the same reasons set forth in claim 6.

Regarding claim 23, the limitations of the claim are rejected as the same reasons set forth in claim 7.

Regarding claim 24, the limitations of the claim are rejected as the same reasons set forth in claim 8.

Regarding claim 25, the limitations of the claim are rejected as the same reasons set forth in claim 9.

Regarding claim 26, the limitations of the claim are rejected as the same reasons set forth in claim 10.

Regarding claim 27, the limitations of the claim are rejected as the same reasons set forth in claim 11.

Regarding claim 28, the limitations of the claim are rejected as the same reasons set forth in claim 12.

Regarding claim 29, the limitations of the claim are rejected as the same reasons set forth in claim 13.

Regarding claim 30, the limitations of the claim are rejected as the same reasons set forth in claim 14.

Regarding claim 31, Bauman discloses the program logic in a computer readable medium (col. 7 lines 54-58).

Regarding claim 33, the limitations of the claim are rejected as the same reasons set forth in claim 1.

Regarding claim 35, the limitations of the claim are rejected as the same reasons set forth in claim 3.

Regarding claim 36, the limitations of the claim are rejected as the same reasons set forth in claim 4.

Regarding claim 37, the limitations of the claim are rejected as the same reasons set forth in claim 5.

Regarding claim 38, the limitations of the claim are rejected as the same reasons set forth in claim 6.

Regarding claim 39, the limitations of the claim are rejected as the same reasons set forth in claim 7.

Regarding claim 40, the limitations of the claim are rejected as the same reasons set forth in claim 8.

Regarding claim 41, the limitations of the claim are rejected as the same reasons set forth in claim 9.

Regarding claim 42, the limitations of the claim are rejected as the same reasons set forth in claim 10.

Regarding claim 43, the limitations of the claim are rejected as the same reasons set forth in claim 11.

Regarding claim 44, the limitations of the claim are rejected as the same reasons set forth in claim 12.

Regarding claim 45, the limitations of the claim are rejected as the same reasons set forth in claim 13.

Regarding claim 46, the limitations of the claim are rejected as the same reasons set forth in claim 14.

Regarding claim 47, the limitations of the claim are rejected as the same reasons set forth in claim 15.

6.   Claims 2, 16, 18, 34 and 48 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bauman et al (US PAT. 5,875,472 hereinafter Bauman) and Hughes (US PAT. 5,784,582) as applied to claim 1 above, and further in view of Wentka et al. (US PAT. 5,968,114 hereinafter Wentka).

Regarding claim 2, the combination of Bauman and Hughes differs from the claimed invention in not specifically teaches the number of host applications comprises a number of packet processing contexts of a packet processor, and wherein the host interface conforms to a packet processor interface. However, Wentka teaches the processing elements (12, figure 1), comprises 32 separate processing elements 30 and 3 input/output processors (figure 5 lines 65-67), processor interface 50 conforms to a packet processor interface (figure 2, and col. 4 lines 1-6). Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to modify the combination of Bauman and Hughes in having the host applications comprises a number of packet processing contexts of a packet processor, and

wherein the host interface conforms to a packet processor interface, as per teaching of Wentka because it provides to communicate data with the CPU's or processors utilizing the time division multiplexing.

Regarding claim 16, Wentka teaches the memory interface device as an application specific integrated circuit (col. 10 lines 23-28).

Regarding claim 18, the limitations of the claim are rejected as the same reasons set forth in claim 2.

Regarding claim 34, the limitations of the claim are rejected as the same reasons set forth in claim 2.

Regarding claim 48, the limitations of the claim are rejected as the same reasons set forth in claim 16.

Response to Arguments

7.   Applicant's arguments filed 10/28/2002 (paper no. 5) have been fully considered but they are not persuasive.

In response to Applicant's argument that Bauman fails to teach or suggest to provide result and status information to the host application, it appears that Bauman clearly discloses the memory interface capable of retrieving data signal from either the cache or shared memory so that the data signal is able of being used by a requesting instruction processor during execution (col. 8 line 43 through col.9 line 47). Note while the retrieved data relates to the result information to the host application. This is enough to met unduly broad claim 32.

In response to applicant's argument that there is no suggestion to combine the references, the examiner recognizes that obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art. See *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988) and *In re Jones*, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992). In this case, Bauman and Hughes are combinable because they are in the same field of endeavor, i.e., a method for performing data processing in a multi-processor system having a shared memory. Note while Hughes teaches a technique of using control logic for selecting memory requests in order to optimize access to the shared memory from the plurality of source, which provides a greater control of the multi processor system and reduce the latency (col. 2 line 10 through col. 3 line 30 and col. 4 line 44 through col. 5 line 34). Thus, it would have been obvious to modify Bauman in having the control logic to obtain memory access requests from number of contexts and to interact with the memory device for executing the memory access requests as taught by Hugh in order to optimize access to the shared memory from the plurality of source, which provides a greater control of the multi processor system and reduce the latency.

In response argument that Bauman fails to teach or suggest to provide result and status information to the host application in view of the combination of Hughes and Bauman, it appears that Bauman clearly discloses the memory interface capable of retrieving data signal from either the cache or shared memory so that the data signal is able of being used by a requesting instruction processor during execution (col. 8 line 43 through col.9 line 47). Thus ,the claimed limitations are still being rejected by the combination of Bauman and Hughes.

Conclusion

8.   **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time

policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE

MONTHS from the mailing date of this action. In the event a first reply is filed within TWO

MONTHS of the mailing date of this final action and the advisory action is not mailed until after

the end of the THREE-MONTH shortened statutory period, then the shortened statutory period

will expire on the date the advisory action is mailed, and any extension fee pursuant to 37

CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,

however, will the statutory period for reply expire later than SIX MONTHS from the mailing

date of this final action.

9.   Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Zhuo H. Li whose telephone number is 703-305-3846. The

examiner can normally be reached on Tue-Fri from 9:30 a.m to 7:00 p.m. The examiner can also

be reached on alternate Monday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Matthew Kim, can be reached on (703) 305-3821. The fax phone number for the

organization where this application or proceeding is assigned is 703-308-6606 for regular

communication and After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding

should be directed to the receptionist whose telephone number is 703-305-3900.

Zhuo Li

Art Unit 2186

MATTHEW KIM
SUPERVISORY PATENT EXAMINER
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